25

CLAIMS

- 1. A method for delivering certificates with associated trust information from a trust information provider to a client for verification of a received certificate by said client, comprising the steps of:
 - providing a trust information object (TIO) to said client; and
 - providing as part of said TIO a hash value of a trust entity certificate and associated trust information indicating a level of trust for a trusted entity associated with said trust entity certificate.
 - 2. The method of Claim 1, wherein said TIO comprises any of:
 - a trusted entity's certificate;
 - a trust vector of said trusted entity's certificate;
 - a value indicating a number of signatures required for a next update;
 - a date said TIO is created; and
 - a digital signature of all data including said certificate, trust vector,
 - number of signatures, and timestamp, contained in said TIO.
 - 3. The method of Claim 1 wherein said hash value is determined using any of MD5 and SHA-1.
 - 4. The method of Claim 1, said TIO conforming to the PKCS#7 standard.
 - 5. The method of Claim 1, further comprising the step of:

hard coding a TIO derived from a set of popular root CA certificates into said client's software.

- 6. The method of Claim 1, further comprising the step of:
- saving a copy of said TIO in a persistent memory during said client's
 - 7. A method for delivering certificates with associated trust information from a server to a client for verification of a received certificate by said client, comprising the steps of:

associating a trust information object (TIO) with said client, said TIO comprising a hash value of a trust entity certificate and associated trust information indicating a level of trust for a trusted entity associated with said trust entity certificate;

during an SSL handshake between said client and said server, said server sending a certificate chain that, optionally, contains a root certificate (RC) to said client; and

said client validating said server certificate using said TIO.

- 8. The method of Claim 7, wherein said client hashes a server certificate and compares a resulting digest against a list of trusted entity certificate thumbprints obtained from said TIO.
 - 9. The method of Claim 8, wherein if a thumbprint match is not found:
- 25 said client retrieves an RC from a trusted server;

5

said client performs certificate chain validation up to a root certificate authority (CA):

once an entire certificate chain is validated, said client tries to validate said CA RC:

wherein, if said RC is included in said certificate chain, said client hashes said RC and looks up said TIO in said client:

wherein if a resulting hash value and a corresponding trust bit are found in said TIO, then said certificate chain is considered to be valid and session initiation proceeds.

- 10. The method of Claim 8, wherein if a thumbprint match is, said client checks a trust bit vector associated with said certificate to ensure that an authenticated server is trusted in the context of a session being established.
- 15 11. The method of Claim 9, wherein if necessary trust capabilities are not set on a matched thumborint, said client fails a session initiation handshake.
 - 12. The method of Claim 7, wherein a hash value in said TIO is taken by hashing a valid certificate; and wherein said certificate is accepted by a validation mechanism, even when said client receives an expired root certificate.
 - 13. The method of Claim 7, further comprising the step of:

providing in said TIO a designated trust bit associated with a site certificate for identifying a site that is trusted to perform certain operations:

wherein when said client executes a script it checks said certificate and associated trust information; and

wherein if said trust bit indicates that a site identified by its certificate is trusted for an intended operation, then access permission is granted.

14. A method for delivering certificates with associated trust information from a server to a client for verification of a received certificate by said client, comprising the steps of:

embedding a trust information object (TIO) within said client, said TIO comprising a hash value of a trust entity certificate and associated trust information:

said client connecting to said server to determine whether a new TIO is available; and

said server sending a new TIO to said client if there is a more recent TIO.

20 15. The method of Claim 14, further comprising the step of:

sending a TIO including a signing certificate to said client, wherein trust information of said signing certificate indicates that said certificate can be trusted for signing said TIO.

20

- 16. The method of Claim 14, wherein said client fetches said TIO from a trusted server, said client ensuring that a root certificate that signed said signing certificate is contained in said TIO and is not revocable.
- 5 17. The method of Claim 14, wherein said client verifies a digital signature of said TIO with a signing certificate, along with a TIO sent to said client.
 - 18. The method of Claim 17, wherein multiple signatures are verified, depending on the number of signatures specified in said TIO; wherein said client hashes said signing certificates one by one; and wherein if proper results are found in said TIO and said certificates are trusted for signing said TIO, then said TIO proves that it was not tampered with.
 - The method of Claim 18, wherein said signing certificates exist in said
 TIO in said client before said TIO is signed.
 - 20. The method of Claim 14, wherein said TIO is delivered to said client via a broadcast channel:

wherein a provider delivers a TIO to said client that contains a signing certificate and associated trust information by either of including said signing certificate in an initial TIO saved in a client persistent memory, or by sending said TIO to said client through a secure channel before using said broadcast channel.

25 21. The method of Claim 14, further comprising the step of:

updating said TIO on a per session basis when said TIO is not persistently stored.

22. An apparatus for delivering certificates with associated trust information from a server to a client for verification of a received certificate by said client, comprising:

a trust information object (TIO) associated with said client:

said TIO comprising a hash value of a trust entity certificate and associated trust information.

- 23. The apparatus of Claim 22, wherein said trust information indicates a level of trust for a trusted entity associated with said TIO.
- 24. The apparatus of Claim 22, said TIO comprising any of:
 - a time stamp which indicates a date that said TIO is generated;
- a trust attribute that comprises trust information associated with an entity represented by its certificate; and
- a thumb print comprising a hash of a public key embedded in a certificate that represents a trusted entity.
- 25. An apparatus for delivering certificates with associated trust information from a trust information provider to a client for verification of a received certificate by said client, comprising:

- a trust information object (TIO) associated with said client; and
- a hash value of a trust entity certificate and associated trust information indicating a level of trust for a trusted entity associated with said trust entity certificate, said hash value contained within said TIO.
- 26. The apparatus of Claim 25, wherein said TIO comprises any of:
 - a trusted entity's certificate;
 - a trust vector of said trusted entity's certificate;
 - a value indicating a number of signatures required for a next update;
 - a date said TIO is created; and
- a digital signature of all data including said certificate, trust vector, number of signatures, and timestamp, contained in said TIO.
- 27. The apparatus of Claim 1 wherein said hash value is determined using any of MD5 and SHA-1.
- 28. The apparatus of Claim 21, said TIO conforming to the PKCS#7 standard.
- 20 29. The apparatus of Claim 1, said TIO comprising a TIO derived from a set of popular root CA certificates hard coded into said client's software.
 - 30. The apparatus of Claim 1, said TIO further comprising:
- a copy of said TIO saved in a persistent memory during said client's build time.

20

5

31. An apparatus for delivering certificates with associated trust information from a server to a client for verification of a received certificate by said client, comprisina:

a trust information object (TIO) associated with said client, said TIO comprising a hash value of a trust entity certificate and associated trust information indicating a level of trust for a trusted entity associated with said trust entity certificate:

means for sending a certificate chain from said server that, optionally, contains a root certificate (RC) to said client during an SSL handshake between said client and said server; and

means at said client for validating said server certificate using said TIO.

- 32. The apparatus of Claim 31, wherein said client hashes a server certificate and compares a resulting digest against a list of trusted entity certificate thumbprints obtained from said TIO.
- 33. The apparatus of Claim 32, wherein if a thumbprint match is not found:

said client retrieves an RC from a trusted server:

said client performs certificate chain validation up to a root certificate authority (CA);

once an entire certificate chain is validated, said client tries to validate said CA RC:

wherein, if said RC is included in said certificate chain, said client hashes said RC and looks up said TIO in said client;

20

wherein if a resulting hash value and a corresponding trust bit are found in said TIO, then said certificate chain is considered to be valid and session initiation proceeds.

34. The apparatus of Claim 32, wherein if a thumbprint match is, said client checks a trust bit vector associated with said certificate to ensure that an authenticated server is trusted in the context of a session being established.

35. The apparatus of Claim 34, wherein if necessary trust capabilities are not set on a matched thumbprint, said client fails a session initiation handshake.

36. The apparatus of Claim 31, wherein a hash value in said TIO is taken by hashing a valid certificate; and wherein said certificate is accepted by a validation mechanism, even when said client receives an expired root certificate.

37. The apparatus of Claim 31, further comprising:

a designated trust bit in said TIO associated with a site certificate for identifying a site that is trusted to perform certain operations;

wherein when said client executes a script it checks said certificate and associated trust information; and

wherein if said trust bit indicates that a site identified by its certificate is trusted for an intended operation, then access permission is granted.

15

20

38. An apparatus for delivering certificates with associated trust information from a server to a client for verification of a received certificate by said client, comorising:

a trust information object (TIO) embedded within said client, said TIO comprising a hash value of a trust entity certificate and associated trust information:

means associated with said client for connecting to said server to determine whether a new TIO is available; and

means associated with said server for sending a new TIO to said client if there is a more recent TIO.

39. The apparatus of Claim 38, further comprising:

means for sending a TIO including a signing certificate to said client, wherein trust information of said signing certificate indicates that said certificate can be trusted for signing said TIO.

- 40. The apparatus of Claim 38, wherein said client fetches said TIO from a trusted server, said client ensuring that a root certificate that signed said signing certificate is contained in said TIO and is not revocable.
- 41. The apparatus of Claim 38, wherein said client verifies a digital signature of said TIO with a signing certificate, along with a TIO sent to said client.
- 25 42. The apparatus of Claim 41, wherein multiple signatures are verified, depending on the number of signatures specified in said TIO; wherein said

20

client hashes said signing certificates one by one; and wherein if proper results are found in said TIO and said certificates are trusted for signing said TIO, then said TIO proves that it was not tampered with.

- 5 43. The apparatus of Claim 42, wherein said signing certificates exist in said TIO in said client before said TIO is signed.
 - 44. The apparatus of Claim 38, wherein said TIO is delivered to said client via a broadcast channel;

wherein a provider delivers a TIO to said client that contains a signing certificate and associated trust information by either of including said signing certificate in an initial TIO saved in a client persistent memory, or by sending said TIO to said client through a secure channel before using said broadcast channel.

45. The apparatus of Claim 38, further comprising:

means for updating said TIO on a per session basis when said TIO is not persistently stored.

- 46. A method for delivering certificates with associated trust information from a server to a client for verification of a received certificate by said client, comprising the steps of:
 - associating a trust information object (TIO) with said client;
- providing within said TIO a hash value of a trust entity certificate and associated trust information.

- 47. The method of Claim 46, wherein said trust information indicates a level of trust for a trusted entity associated with said TIO.
- 48. The method of Claim 46, said TIO comprising any of:
 - a time stamp which indicates a date that said TIO is generated;
- a trust attribute that comprises trust information associated with an entity represented by its certificate; and
- a thumb print comprising a hash of a public key embedded in a certificate that represents a trusted entity.
- 49. A method for delivering certificates with associated trust information from a trust information provider to a client for verification of a received certificate by said client, comprising the steps of:
- providing a trust information object (TIO) to said client; and providing as part of said TIO a hash value of a public key embedded in a certificate that represents a trusted entity.